

Model-based conformance test generation for timed systems

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Conformance testing of reactive systems

Checking that a **black-box implementation** (IUT) of a reactive system **behaves correctly** wrt. its **specification S** , through **test experiments**.

- ▶ **black box**: unknown code, but known interfaces
- ▶ the specification is **the reference** (oracle)



Application domains

Embedded systems in automotive, aerospace, medical devices, etc

Telecommunication systems, Information systems, Web services, etc

Why (and how) formalizing conformance testing ?

Industrial practice:

manual design of test suites from informal specifications

⇒ high cost, low quality, difficult maintenance, ...

⇒ automatization of test synthesis from formal specifications
can be profit earning

→ *formalizing testing/test generation*: **model-based testing**

- ▶ formal models for specifications, test cases, implementations,
- ▶ formalize the conformance relation, test execution, verdicts
- ▶ design test generation algorithms
- ▶ ensure properties of test cases

Model-based test generation from timed systems

Motivations

- ▶ Testing reactive systems with **timing constraints**
e.g. real-time systems.

Timed Automata (TA) [AD94]

- ▶ A standard model for RT systems
- ▶ Well studied theory
(e.g. reachability pb decidable using Region/Zone Automata)
- ▶ Verification tools: UPPAAL, Chronos, IF...

Conformance theory for TAs

- ▶ TA model adapted for testing: TAIO
- ▶ Conformance relation: **tioco** [KT09] / **rtioco** [LMN04]
Extends **ioco** for untimed models (IOLTS) to TAIOs

Challenges for MBT with **tioco**

Determinization

may be necessary to foresee allowed actions after observable traces.

but not all TAs can be determinized

→ Two approaches to test generation:

- ▶ On-line testing (e.g. UPPAAL-TRON): test gen. during execution;
Allowed actions after one trace: no determinization.
- ▶ Off-line testing: separate test generation and test execution;
Most often restricted to deterministic/determinizable classes of TAs.
Exception: [KT09] based on approximate determinization.

Test selection

not all behaviours can be tested (infinite runs/dense time),
thus it is necessary to select some finite behaviors to test.

Different approaches: random, coverage criteria, test purposes.

Our approach

Off-line test generation from TAIOS in the **tioco** testing theory

- ▶ **General model** of non-deterministic TAIOS:
 - ▶ input/output/internal actions, invariants (urgency)
- ▶ **Off-line** test case generation [BJSK11, BJSK12]
 - ▶ **Approximate determinization** of TAIOS [BSJK11, BSJK15].
 - ▶ Selection by expressive **test purposes**,
 - ▶ using **symbolic reachability analysis**,
 - ▶ producing **TAIOS test cases**.

Outline

- 1 Timed Automata with inputs and outputs (TAIOs)
- 2 The **tioco** testing theory
- 3 Off-line test case selection

① Timed Automata with inputs and outputs (TAIOs)

② The **tioco** testing theory

③ Off-line test case selection

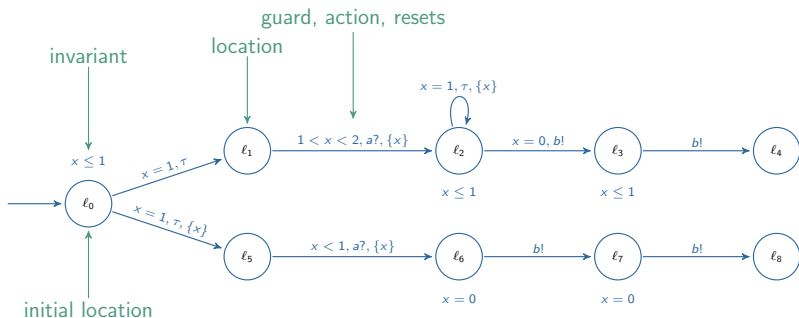
Timed automata with inputs and outputs (TAIOs)

Automata + clocks + inputs /outputs/internal to describe testing artifacts (specif., implem., test cases), extended for test purposes.

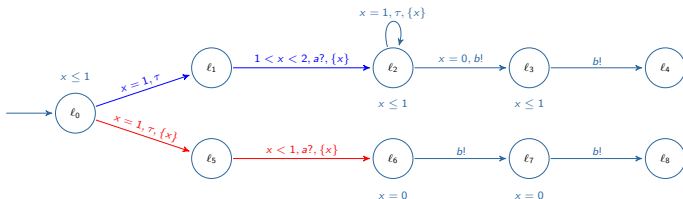
TAIO $\mathcal{A} = (L, \ell_0, \Sigma_?, \Sigma!, \Sigma_\tau, X, M, I, E)$.

guard/invariant: conj. of $x \sim c$, $c \in [0, M] \cap \mathbb{N}$, $\sim \in \{<, \leq, =, \geq, >\}$

Resources $(X, M) = (\{x\}, 2)$, \rightarrow region abstraction, determinization



Semantics of TAIOS: Runs, Traces



► **state** = (location, valuation of X),

► **Runs:** from state to state by discrete trans./time elapse

$$\rho_1 = (l_0, 0) \xrightarrow{1} (l_0, 1) \xrightarrow{(x=1, \tau)} (l_1, 1) \xrightarrow{.5} (l_1, 1.5) \xrightarrow{(1 < x < 2, a?, \{x\})} (l_2, 0)$$

$$\rho_2 = (l_0, 0) \xrightarrow{1} (l_0, 1) \xrightarrow{(x=1, \tau, \{x\})} (l_5, 0) \xrightarrow{.5} (l_5, .5) \xrightarrow{(x < 1, a?, \{x\})} (l_6, 0)$$

► **Traces:** $\sigma_1 = \sigma_2 = (1.5).a?$: proj. on observ. delays, actions

► **After:** \mathcal{A} after $(1.5).a?$ = $\{(l_2, 0), (l_6, 0)\}$ (non-determinism)

► **Out:** $\text{out}(\mathcal{A} \text{ after } (1.5).a?) = \text{out}(\{(l_2, 0), (l_6, 0)\}) = \{b\} \cup [0, \infty)$

Some characteristics of TAIOs

A TAIO \mathcal{A} is said

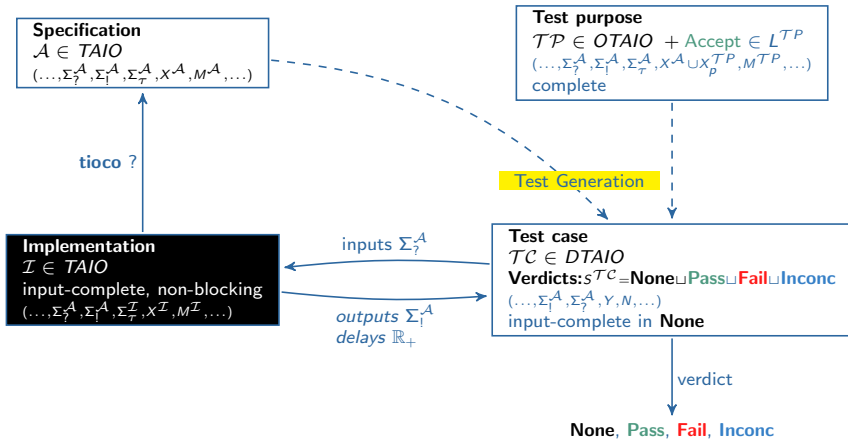
- ▶ **deterministic** (DTAIO): **no τ action, no intersecting guards in any ℓ**
Ensures that $\forall \sigma \in \text{Traces}(\mathcal{A}), \mathcal{A}$ after σ is a singleton.
- ▶ **complete**: **in any location, all delays and actions are enabled**
 $\forall \ell \in L, (I(\ell) = \text{true} \wedge \forall a \in \Sigma, \bigvee_{(\ell, g, a, X', \ell') \in E} g = \text{true})$
- ▶ **input-complete in state** (ℓ, ν) : **ready to receive any input**
 $\forall a \in \Sigma^A, (\ell, \nu) \xrightarrow{a}$.
- ▶ **non-blocking**: **does not prevent time to progress**
from any reachable state, there is an execution of arbitrary duration.

① Timed Automata with inputs and outputs (TAIOs)

② The **tioco** testing theory

③ Off-line test case selection

Conformance testing framework

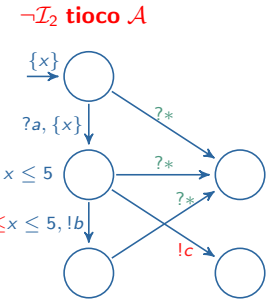
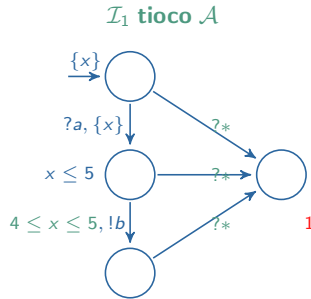
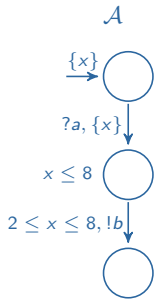


The tioco conformance relation [KT09]

Let \mathcal{A} be a TAIO, and \mathcal{I} an input-complete, non-blocking TAIO,
 \mathcal{I} **tioco** \mathcal{A} if after traces of \mathcal{A} , outputs and delays of \mathcal{I} are allowed by \mathcal{A} .

$$\forall \sigma \in \text{Traces}(\mathcal{A}), \text{out}(\mathcal{I} \text{ after } \sigma) \subseteq \text{out}(\mathcal{A} \text{ after } \sigma).$$

Alternative def.: $\text{Traces}(\mathcal{I}) \cap [\text{Traces}(\mathcal{A}).(\Sigma_I \cup \mathbb{R}^+) \setminus \text{Traces}(\mathcal{A})] = \emptyset.$



$\text{out}(\mathcal{A} \text{ after } ?a.1) = [0, 7]$
 $\text{out}(\mathcal{A} \text{ after } ?a.2) = \{b\} \cup [0, 6]$

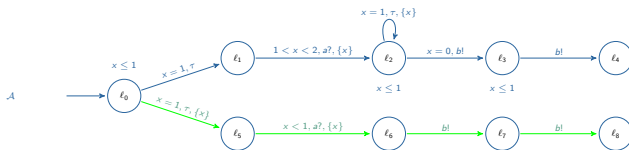
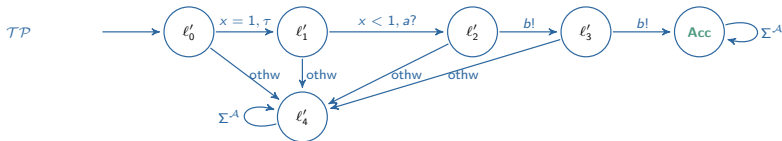
$\text{out}(\mathcal{I}_2 \text{ after } ?a.1) = \{b, c\} \cup [0, 4]$
 $\text{out}(\mathcal{I}_1 \text{ after } ?a.2) = [0, 3]$

Test purposes

Formalize practice for selecting behaviors of specifications for testing.

A **Test purpose** for \mathcal{A} is a pair $(\mathcal{TP}, \text{Accept})$ where

- ▶ $\mathcal{TP} = (L^{TP}, \ell_0^{TP}, \Sigma_?^A, \Sigma_!^A, \Sigma_\tau^A, X^A, X^{TP}, M^{TP}, I^{TP}, E^{TP})$ is a **non-intrusive OTAIO**: complete, observing Σ^A and X^A , + proper clocks X^{TP} enhancing precision
- ▶ $\text{Accept} \subseteq L^{TP}$: accepting trap locations.

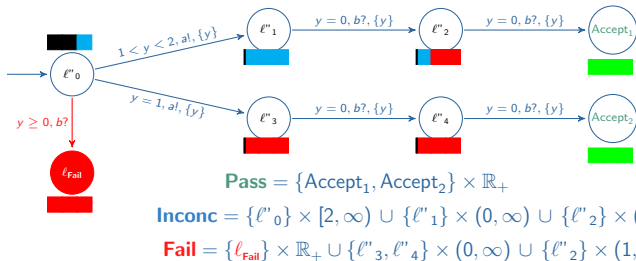


Test cases

Test case for \mathcal{A} : $(\mathcal{TC}, \text{Verdicts})$ where

- ▶ $\mathcal{TC} = (L^{\mathcal{TC}}, \ell_0^{\mathcal{TC}}, \Sigma_?^{\mathcal{TC}} = \Sigma_?^{\mathcal{A}}, \Sigma_!^{\mathcal{TC}} = \Sigma_!^{\mathcal{A}}, Y, N, I^{\mathcal{TC}}, E^{\mathcal{TC}})$ is a DTAIO
- ▶ **Verdicts**: partition of $S^{\mathcal{TC}} = \text{None} \sqcup \text{Pass} \sqcup \text{Fail} \sqcup \text{Inconc}$
- ▶ \mathcal{TC} is input-complete in **None** states + $\forall \ell, I^{\mathcal{TC}}(\ell) = \text{true}$.

Test suite $\mathcal{TS} = \text{set of test cases}$.



Test execution and verdicts

Test execution

The execution of TC on \mathcal{I} is modelled by the parallel composition $\mathcal{I}||TC$ where time and (opposite) observable actions synchronize.

Ensures $\boxed{\text{Traces}(\mathcal{I}||TC) = \text{Traces}(\mathcal{I}) \cap \text{Traces}(TC)}$.

Failure by a test case

The (possible) failure of an implementation to pass a test is modelled as

$$\boxed{\mathcal{I} \text{ fails } TC \equiv \text{Traces}(\mathcal{I}) \cap \text{Traces}_{\text{Fail}}(TC) \neq \emptyset}$$

i.e. the execution of $\mathcal{I}||TC$ may lead TC to a **Fail** state.

(similar defs of *passes* for **Pass** and *inconc* for **Inconc**).

Warning: due to non-controlability, the same \mathcal{I} may produce different verdicts for the same test case.

Expected properties of test suites

- ▶ **Soundness:** $\forall I, \forall TC \in TS, I \text{ fails } TC \Rightarrow \neg(I \text{ tioco } \mathcal{A})$
only non-conformant implementations can be rejected by a test case
- ▶ **Exhaustiveness:** $\forall I, \neg(I \text{ tioco } \mathcal{A}) \Rightarrow \exists TC \in TS, I \text{ fails } TC$
all non-conformant implem. may be rejected by some test case
- ▶ **Strictness:** $\forall I, \forall TC \in TS, \neg(I \parallel TC \text{ tioco } \mathcal{A}) \Rightarrow I \text{ fails } TC$
non-conformant traces traversed during test execution imply rejection
- ▶ **Precision:** A test suite TS for \mathcal{A} and TP is *precise* if
Pass verdicts are delivered for traces of runs of \mathcal{A} accepted by TP .

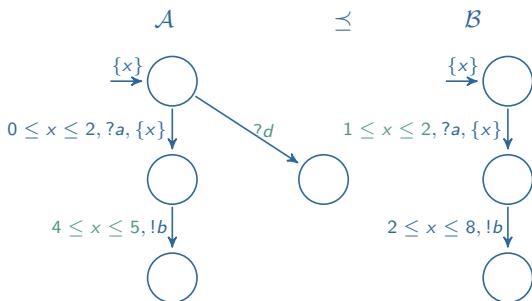
$$\text{Traces}_{\text{Pass}}(TC) = \text{Traces}(\text{Seq}(\mathcal{A}) \uparrow^{X^{TP}} \cap \text{Seq}_{\text{Accept}^{TP}}(TP))$$

io-refinement/abstraction

Let \mathcal{A} , \mathcal{B} be two TAIOs with same input/output alphabets

\mathcal{A} **io-refines** \mathcal{B} (\mathcal{B} **io-abstracts** \mathcal{A}) if $\left\{ \begin{array}{l} \text{after traces of } \mathcal{B}, \text{ outputs/delays of } \mathcal{A} \text{ allowed by } \mathcal{B} \\ \text{after traces of } \mathcal{A}, \text{ inputs of } \mathcal{B} \text{ allowed by } \mathcal{A} \end{array} \right.$

$$\mathcal{A} \preceq \mathcal{B} \equiv \left\{ \begin{array}{l} \forall \sigma \in \text{Traces}(\mathcal{B}), \text{ out}(\mathcal{A} \text{ after } \sigma) \subseteq \text{out}(\mathcal{B} \text{ after } \sigma) \\ \forall \sigma \in \text{Traces}(\mathcal{A}), \text{ in}(\mathcal{B} \text{ after } \sigma) \subseteq \text{in}(\mathcal{A} \text{ after } \sigma). \end{array} \right.$$



io-abstraction and **tioco**

Proposition: io-abstraction preserves conformance

If $\mathcal{A} \preceq \mathcal{B}$ then $\mathcal{I} \mathbf{tioco} \mathcal{A} \Rightarrow \mathcal{I} \mathbf{tioco} \mathcal{B}$.

Proof sketch: when \mathcal{I} input-complete, $\mathcal{I} \mathbf{tioco} \mathcal{A} \iff \mathcal{I} \preceq \mathcal{A}$

by transitivity: $\mathcal{I} \mathbf{tioco} \mathcal{A} \wedge \mathcal{A} \preceq \mathcal{B} \Rightarrow \mathcal{I} \preceq \mathcal{B} \iff \mathcal{I} \mathbf{tioco} \mathcal{B}$

Corollary: io-refinement preserves soundness

If $\mathcal{A} \preceq \mathcal{B}$ then \mathcal{TS} sound for $\mathcal{B} \Rightarrow \mathcal{TS}$ sound for \mathcal{A} .

Proof sketch: $\mathcal{A} \preceq \mathcal{B} \Rightarrow (\neg(\mathcal{I} \mathbf{tioco} \mathcal{B}) \Rightarrow \neg(\mathcal{I} \mathbf{tioco} \mathcal{A}))$

\mathcal{TS} sound for $\mathcal{B} = (\forall \mathcal{I}, \mathcal{I} \text{ fails } \mathcal{TC} \Rightarrow \neg(\mathcal{I} \mathbf{tioco} \mathcal{B}))$

$\Rightarrow (\forall \mathcal{I}, \mathcal{I} \text{ fails } \mathcal{TC} \Rightarrow \neg(\mathcal{I} \mathbf{tioco} \mathcal{A})) = \mathcal{TS}$ sound for \mathcal{A} .

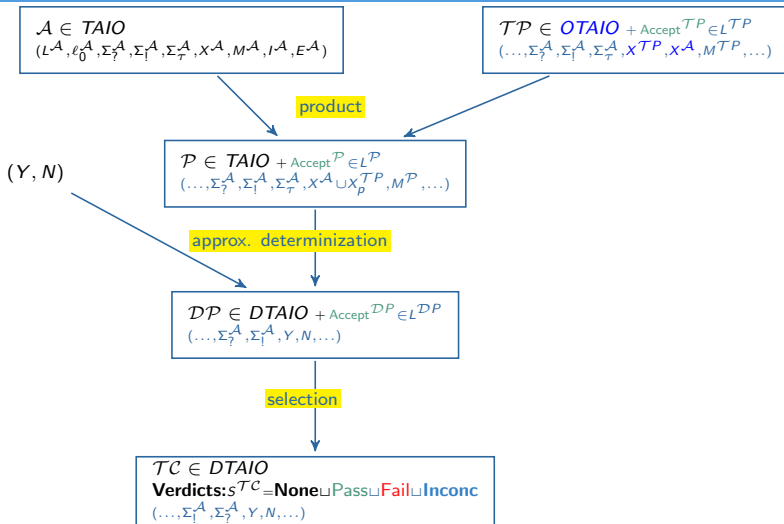
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Challenges of test generation

Generating a test suite \mathcal{TS} from a TAIO \mathcal{A} .

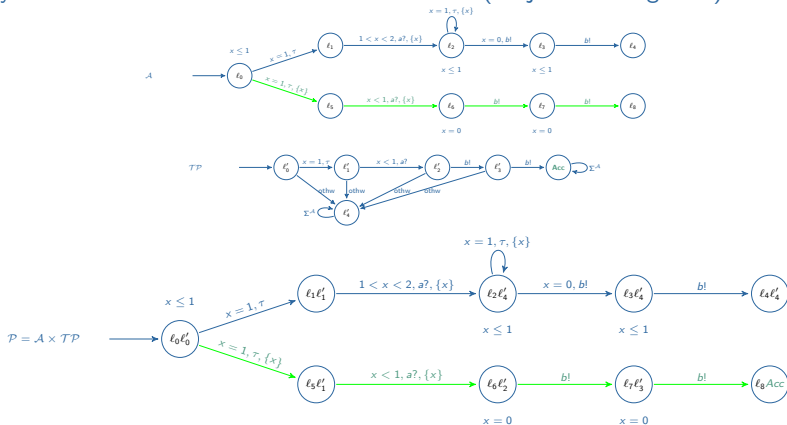
- ▶ **Selection** of a finite set of \mathcal{TC} by **test purposes** \mathcal{TP} :
→ precision gained by an expressive model of \mathcal{TP} : OTAIOs
- ▶ **Off-line** test generation:
 - ▶ **determinization** required to foresee outputs after any trace of \mathcal{A} ,
 - ▶ **but TAs cannot be determinized in general**→ approximate determinization adapted to **tioco**
- ▶ Desired **properties** of \mathcal{TS} :
→ conditions to ensure soundness ?, exhaustiveness ?, strictness ?

Off-line test case selection with test purposes



Product $\mathcal{P} = \mathcal{A} \times \mathcal{TP}$

Synchronization on actions and observed clocks (conjunction of guards).

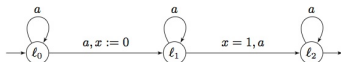


Non-intrusiveness: $\text{Traces}(\mathcal{P}) = \text{Traces}(\mathcal{A}) \Rightarrow$ same **tioco** implementations.

Intersection: $\text{Traces}_{\text{Accept}^{\mathcal{P}}}(\mathcal{P}) = \text{Traces}(\text{Seq}(\mathcal{A}) \uparrow^{X^{\mathcal{TP}}} \cap \text{Seq}_{\text{Accept}^{\mathcal{TP}}}(\mathcal{TP}))$

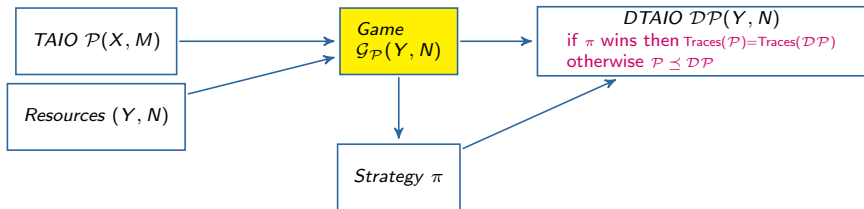
Determinization

Determinization is crucial to set **Fail** verdicts,
i.e. detect non-conformant traces in $Traces(\mathcal{P}).(\Sigma_I \cup \mathbb{R}^+) \setminus Traces(\mathcal{P})$
 but TAIOS (like TAs) cannot be determinized in general
 (some languages of TAIOS cannot be recognized by DTAIOS).



- ▶ Restriction to determinizable classes is limited
 - ▶ Approximate determinization for any TAIOS, adapted to **tioco**:
 - ▶ What approximation is allowed ?
 - Remember: **io-abstraction preserves soundness**
 - ▶ How to compute an io-abstract determinization of a TAIOS ?
 - ▶ fix resources (Y, N) , simulate X by Y ,
 - ▶ try to be exact when possible,
 - ▶ when necessary, over-approx. outputs/delays, under-approx. inputs
- [BSJK11]: a game approach to determinization

Approximate determinization: general scheme



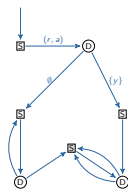
Corollary: approximate determinization preserves soundness

If a test suite \mathcal{TS} is **sound** for \mathcal{DP} , it is **sound** for \mathcal{P} , thus for \mathcal{A} .

Game principles

Finite turn-based safety game between **Spoiler** and **Determinizator**.

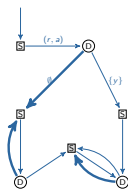
- ▶ Config. of game = state estimate (τ -closure + subset construction + clock relations encoding X by Y).
- ▶ **Spoiler** chooses an action a and when to fire it (region r on Y)
- ▶ **Determinizator** chooses clocks $Y' \subseteq Y$ to reset
- ▶ Avoid unsafe states (possible strict io-abstraction).



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Properties of the game

- ▶ Strategy of Determinizator \rightarrow deterministic io-abstraction.
- ▶ **Winning** strategy of Determinizator \rightarrow deterministic equivalent. (with sufficient resources, winning strategies exist for all known determinizable classes: event-clock, int. reset, non-Zeno TAs).

Complexity: doubly exponential in $|X \cup Y|$, exponential in $|L^P|$.

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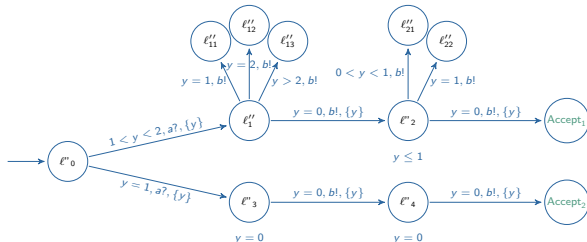
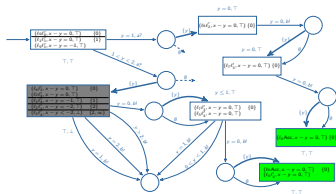
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Complexity: doubly exponential in $|X \cup Y|$, exponential in $|L^{\mathcal{P}}|$.

From a strategy to a DTAIO \mathcal{DP}

For a strategy π of the game, build a TAIO \mathcal{DP} .



Generating \mathcal{TC} from \mathcal{DP} : principle

Essentially consists in identifying verdicts in \mathcal{DP} :

- ▶ **Fail**: detect non-conformant traces in $\text{Traces}(\mathcal{DP}).(\Sigma_I \cup \mathbb{R}^+) \setminus \text{Traces}(\mathcal{DP})$,
i.e.:
 - ▶ **unspecified delays** = violation of invariants, incorporated in **Fail**
Warning: invariants in \mathcal{DP} transferred to guards in \mathcal{TC}
 - ▶ **unspecified outputs** by complementation to a new location ℓ_{Fail}
- ▶ **Pass**: captured by $\text{Accept}^{\mathcal{DP}}$ locations
- ▶ **Inconc**: states not co-reachable from **Pass**.
Avoid them when controllable.

+ Inversion of input/output alphabets

Generating \mathcal{TC} from \mathcal{DP} : formalization

$\mathcal{TC} = (L^{\mathcal{DP}} \sqcup \{\ell_{\text{Fail}}\}, \ell_0^{\mathcal{DP}}, \Sigma_1^A, \Sigma_2^A, Y, N, I^{\mathcal{TC}} = \text{true}, E_i^{\mathcal{DP}} \cup E_{\ell_{\text{Fail}}})$ such that:

- ▶ $E_i^{\mathcal{DP}} = \{(l, g \wedge I^{\mathcal{DP}}(l), a, X', \ell') \mid (l, g, a, X', \ell') \in E^{\mathcal{DP}}\}$ and
- ▶ $E_{\ell_{\text{Fail}}} = \{(l, \neg \bigvee_{(l, g, a, X', \ell') \in E^{\mathcal{DP}}} g, a, X_p^{\mathcal{TC}}, \ell_{\text{Fail}}) \mid l \in L^{\mathcal{DP}}, a \in \Sigma_1^A\}$.

Generating \mathcal{TC} from \mathcal{DP} : formalization

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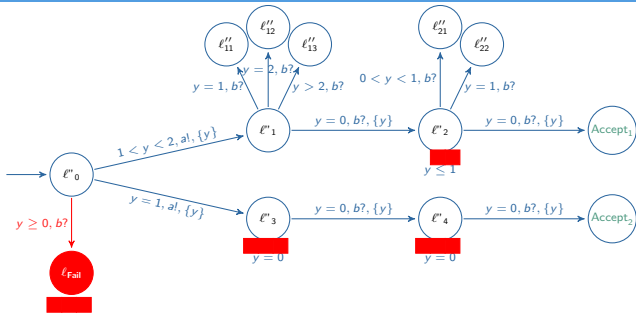
- ▶ $E_i^{\mathcal{DP}} = \{(\ell, g \wedge I^{\mathcal{DP}}(\ell), a, X', \ell') \mid (\ell, g, a, X', \ell') \in E^{\mathcal{DP}}\}$ and
- ▶ $E_{\ell_{\text{Fail}}} = \{(\ell, \neg \bigvee_{(\ell, g, a, X', \ell') \in E^{\mathcal{DP}}} g, a, X_p^{\mathcal{TC}}, \ell_{\text{Fail}}) \mid \ell \in L^{\mathcal{DP}}, a \in \Sigma_1^A\}$.

$$\text{Verdicts : } \left\{ \begin{array}{l} \text{Fail} = \{\ell_{\text{Fail}}\} \times \mathbb{R}_+^Y \cup \bigcup_{\ell \in \mathcal{L}^{\mathcal{DP}}} (\{\ell\}, \neg I^{\mathcal{DP}}(\ell)) \\ \text{Pass} = \bigcup_{\ell \in \text{Accept}^{\mathcal{DP}}} (\{\ell\} \times I^{\mathcal{DP}}(\ell)) \\ \text{None} = \text{coreach}(\mathcal{DP}, \text{Pass}) \setminus \text{Pass} \\ \text{Inconc} = S^{\mathcal{DP}} \setminus (\text{Pass} \cup \text{Fail} \cup \text{Inconc}) \end{array} \right.$$

$\text{coreach}(\mathcal{DP}, \text{Pass})$ computed symbolically using regions/zones.

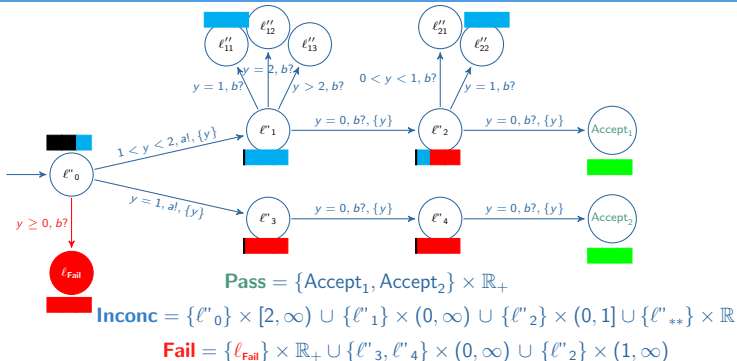
Complexity: $\mathcal{O}(|L^{\mathcal{DP}}| \cdot |Y| \cdot N)$

Selection of \mathcal{TC}



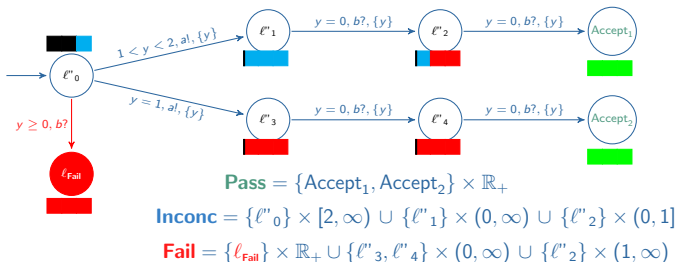
$$\text{Fail} = \{l_{\text{Fail}}\} \times \mathbb{R}_+ \cup \{l''_3, l''_4\} \times (0, \infty) \cup \{l''_2\} \times (1, \infty)$$

Selection of \mathcal{TC}



Urgency “preserved” by incorporating the negation of invariants into **Fail**.

Selection of \mathcal{TC}



Urgency “preserved” by incorporating the negation of invariants into **Fail**.

Last “control” step: avoid **Inconc** states when possible:

- ▶ guard intersected with **None** in the source location and with **None** \cup **Pass** in the target location for outputs.

Test case properties

Theorem

Any generated test case TC is **sound** for \mathcal{A} .
If DP is **exact** wrt. \mathcal{P} , TC is **strict** for \mathcal{A} , and **precise** for \mathcal{A} and \mathcal{TP} .

Theorem

If \mathcal{A} is **repeatedly observable** (from any state, a future observation) and DP is **exact**, the set of all test cases that can be generated is **exhaustive**.

If DP is not exact: possibly missed **Fail**, unexpected **Pass**.

Conclusion

- ▶ off-line test generation algorithm for all (non-deterministic) TAIOS, thanks to approximate determinization,
- ▶ precise selection of test cases by test purposes, using symbolic co-reachability analysis
- ▶ generated test cases are TAIOS, *i.e.* complex reactive systems

Other approaches:

- ▶ test generation usually on-line (TorX like algo.)
- ▶ off-line test selection often limited to determini(stic/zable) TAs
- ▶ [KT09] less precise, no preservation of urgency,
- ▶ [KCL98], [END01]: less expressive test purposes
- ▶ [DLLN09]: test selection using games (more restrictive).

Some challenges in MBT

- ▶ Combine time and data with non-determinism.
Approximate determinization ?
- ▶ Recursion. Pushdown automata. Determinization issue.
- ▶ Asynchronous testing.
- ▶ Modular test generation for composed systems.
- ▶ Semantic coverage / structural coverage.

Bibliography

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